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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14185

In Re Application Of: **AKIHIKO OKAMOTO, et al**

Serial No.
09/746,114

Filing Date
December 22, 2000

Examiner
unassigned

Group Art Unit
unassigned

Title: **METHOD OF FABRICATING NANO-TUBE, METHOD OF MANUFACTURING
FIELD-EMISSION TYPE COLD CATHODE, AND METHOD OF MANUFACTURING
DISPLAY DEVICE**

2879

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TO 2800 MAIL ROOM

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DISPLAY DEVICE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on Jan. 29, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michelle Mustafa
Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Dated: January 29, 2001

Signature

Paul J. Esatto, Jr.
Registration No. 30,749
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(516) 742-4343

CC:



PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Akihiko Okamoto, et al.

Examiner: unassigned

Serial No: 09/746,114

Docket: 14185

Filed: December 22, 2000

Dated: January 29, 2001

For: METHOD OF FABRICATING
NANO-TUBE, METHOD OF MANUFACTURING
FIELD-EMISSION TYPE COLD CATHODE,
AND METHOD OF MANUFACTURING DISPLAY DEVICE

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

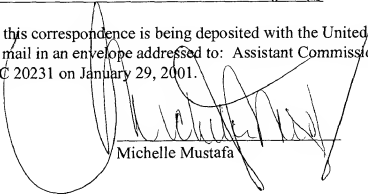
1. Japanese unexamined Patent Publication No. 7-172807

dated July 11, 1995.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on January 29, 2001.

Dated: January 29, 2001


Michelle Mustafa

2. Japanese Unexamined Patent Publication No. 10-12124
dated January 16, 1998.

3. Japanese unexamined Patent Publication No. 9-221309
dated August 26, 1997.

4. Japanese unexamined Patent Publication No. 10-199398
dated July 31, 1998.

Applicant is submitting copies of the above-cited references. The
relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in
accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee
is required. Consideration of this Information Disclosure Statement is respectfully
requested.

Respectfully submitted,



Paul J. Esatto, Jr.
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PJE:eg